### **HSBC CHINA DRAGON FUND**

滙豐中國翔龍基金

(Stock Code: 820)

Monthly Return on Movements in Units

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# Monthly Return for Collective Investment Scheme listed under Chapter 20 of the Exchange Listing Rules (other than listed open-ended Collective Investment Scheme) on Movements in Units

For the month ended (dd/mm/yyyy) :	31/12/2019

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme	HSBC China Dragon Fund
Date Submitted	03/01/2020

Stock Code 820

I. Movements in Interests

	No. of	f units
	(1)	(2)
Balance at close of preceding month	68,751,443	
Increase/ (decrease) during the month		
EGM approval date		
Balance at close of the month	68,751,443	

### II. Details of Movements in Units

Particulars of unit option scheme including EGM approval date		Movement duri	ng the month		No. of new units in Scheme issued during the month pursuant	No. of new units in Scheme which may be issued pursuant thereto
(dd/mm/yyyy)	Granted	Exercised	Cancelled	Lapsed	thereto	as at close of the month
<u>1.</u>						
( / / )						
2						
<u>( / / )</u>						
3.						
<u>( / / )</u>						
Total funds raised du options (State curren		1 from exercise o	f <u>Nil</u>	Total	A. Nil	

### Unit Options (under Unit Option Schemes of the Scheme)

Description of warrants (Date of expiry - dd/mm/yyyy)		Nominal value at close of preceding month	Exercised during the month	Nominal value at close of the month	No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
1.						
( / / ) Stock code (if listed) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy) 2.	( /	/ )				
( / / ) Stock code (if listed) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy) <u>3.</u>	( /	/ )				
( / / ) Stock code (if listed) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy) <u>4.</u>	( /	/ )				
Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	( /	/ )				
				Total <u>B. N</u>	Jil	

### Warrants to Issue Units in the Scheme which are to be Listed

#### For Main Board listed issuers

Convertibles (i.e. Convertible into <u>Class and description</u> 1.		Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
Stock code (if listed) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 2.	( / /					
Stock code (if listed)         Subscription price         EGM approval date         (if applicable)         (dd/mm/yyyy)         3.	( / /					
Stock code (if listed) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 4.	( / /					
Stock code (if listed) Subscription price EGM approval date (if applicable) (dd/mm/yyyy)	( / /			Total <u>C. 1</u>	 Nil	

### Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)

Full particulars including EGM approval date (dd/mm/yyyy), if applicable:	No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
1		
2		
3		
Total	D. Nil	

Other Movements in Units

	Type of Issue							No. of new units in Scheme issued during the month pursuant thereto	
1.	Rights issue	At price :	State currency	EGM approval date		/			
2.	Open offer	At price :	State currency	EGM approval date		/			
3.	Placing	At price :	State currency	Issue and allotment date : (dd/mm/yyyy) ( / EGM approval date (dd/mm/yyyy) ( /		/			
4.	Bonus issue			Issue and allotment date : (dd/mm/yyyy) ( //	/	/	)		

	Type of Issue							No. of new units in Scheme issued during the month pursuant thereto	
				EGM approval date (dd/mm/yyyy) (	/	/	)		
5.	Scrip dividend	At price :	State — currency	EGM approval date (dd/mm/yyyy) (	/	/	)		
6.	Repurchase of units				/	/	)		
				EGM approval date : (dd/mm/yyyy) (	/	/	)		- <u>-</u>
7.	Redemption of units			Redemption date : ( (dd/mm/yyyy)	/	/	)		
				EGM approval date (dd/mm/yyyy) (	/	/	)		
8.	Consideration issue	At price :	State	Issue and allotment date : (dd/mm/yyyy) (	/	/	)		
			currency —	EGM approval date (dd/mm/yyyy) (	/	/	)		
9.	Other (Please specify)	At price :	State currency —	Issue and allotment date : (dd/mm/yyyy) (	/	/	)		
	(			EGM approval date (dd/mm/yyyy) (	/	/	)		
							Tot	al <u>E. Nil</u>	-

omitted by:	Maria Fung
Sillitica by	Authorised officer
	HSBC Global Asset Management (Hong Kong) Limited (Manager of HSBC China
	Dragon Fund)
tle:	

Note : If there is insufficient space, please append the prescribed continuation sheet.



# Monthly Return for Collective Investment Scheme listed under Chapter 20 of the Exchange Listing Rules (other than listed open-ended Collective Investment Scheme) on Movements in Units

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme	HSBC China Dragon Fund
Date Submitted	02/12/2019

Stock Code 820

I. Movements in Interests

	No. of units				
	(1)	(2)			
Balance at close of preceding month	68,751,443				
Increase/ (decrease) during the month					
EGM approval date					
Balance at close of the month	68,751,443				

### II. Details of Movements in Units

Particulars of unit option scheme including EGM approval date		Movement duri			No. of new units in Scheme issued during the month pursuant	issued pursuant thereto
(dd/mm/yyyy)	Granted	Exercised	Cancelled	Lapsed	thereto	as at close of the month
<u>1.</u>						
( / / )						
2.						
( / / )						
3.						
( / / )						
				Total	A. Nil	
Total funds raised dua options (State current		from exercise o	f <u>Nil</u>			

### Unit Options (under Unit Option Schemes of the Scheme)

Description of warrants (Date of expiry - dd/mm/yyyy)		Nominal value at close of preceding month	Exercised during the month	Nominal value at close of the month	No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
1.	-					
( / / ) Stock code (if listed)	- - 					
Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 2.	( / -	/ )				
( / / ) Stock code (if listed) Subscription price EGM approval date (if applicable) (dd/mm/yyyy)	( /	/ )				
<u>3.</u> 	- - - -					
Stock code (if listed) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 4.	( /	/ )				
( / / ) Stock code (if listed) Subscription price EGM approval date	-					
(if applicable) (dd/mm/yyyy)	( /	/ )		Total <u>B. 1</u>	Vil	

#### For Main Board listed issuers

Convertibles (i.e. Convertible int		of Amo	which are to punt at close preceding	be Listed) Converted during the	Amount at close of the	No. of new units in Scheme issued during the month pursuant	No. of new units in Scheme which may be issued pursuant thereto as at close of the
Class and description	outstandin		month	month	month	thereto	month
1.		0					
	_						
	_						
Stock code (if listed)							
Subscription price			- -				
EGM approval date							
(if applicable) (dd/mm/yyyy)	( / /	)					
2.		/	-				
	_						
Stock code (if listed)			·				
Subscription price			-				
EGM approval date (if applicable)							
(dd/mm/yyyy)	( / /	)					
3.	_	/	-				
Stock code (if listed)							
Subscription price			-				
EGM approval date (if applicable)							
(dd/mm/yyyy)	( / /	)	_				
4.			-				
Stock code (if listed)			·				
Subscription price			-				
EGM approval date (if applicable)							
(dd/mm/yyyy)	( / /	)	_				
					Total C	NT:1	
					Total <u>C.</u>	NII	

# Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)

Full particulars including EGM approval date (dd/mm/yyyy), if applicable:	No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
1	-	
2		
	-	
3.		
	-	
Total	D. Nil	

Other Movements in Units

	Type of Issue							No. of new units in Scheme issued during the month pursuant thereto	
1.	Rights issue	At price :	State currency -	Issue and allotment date : (dd/mm/yyyy) EGM approval date (dd/mm/yyyy)		/	,		
2.	Open offer	At price :	State currency -	Issue and allotment date : (dd/mm/yyyy) EGM approval date (dd/mm/yyyy)		/			
3.	Placing	At price :	State currency -	Issue and allotment date : (dd/mm/yyyy) EGM approval date (dd/mm/yyyy)					
4.	Bonus issue			Issue and allotment date : (dd/mm/yyyy)	_( /	/	)		

	Type of Issue							No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
				EGM approval date (dd/mm/yyyy) (	/	/	)		
5.	Scrip dividend	At price :	State — currency	EGM approval date (dd/mm/yyyy) (	/	/	)		
6.	Repurchase of units				/	/	)		
				EGM approval date : (dd/mm/yyyy) (	/	/	)		
7.	Redemption of units			Redemption date : ( (dd/mm/yyyy)	/	/	)		
				EGM approval date (dd/mm/yyyy) (	/	/	)		
8.	Consideration issue	At price :	State	Issue and allotment date : (dd/mm/yyyy) (	[ ]	/	)		
		-	currency —	EGM approval date (dd/mm/yyyy) (	/	/	)		
9.	Other (Please specify)	At price :	State currency —	Issue and allotment date : (dd/mm/yyyy) (	/	/	)		
			-	EGM approval date (dd/mm/yyyy) (	/	/	)		
							To	tal <u>E. Nil</u>	

. . . .

ubmitted by:	Maria Fung
uomitted by.	Authorised officer
	HSBC Global Asset Management (Hong Kong) Limited (Manager of HSBC China
	Dragon Fund)

Note : If there is insufficient space, please append the prescribed continuation sheet.



# Monthly Return for Collective Investment Scheme listed under Chapter 20 of the Exchange Listing Rules (other than listed open-ended Collective Investment Scheme) on Movements in Units

For the month ended (dd/mm/yyyy) :	31/10/2019

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme	HSBC China Dragon Fund
Date Submitted	01/11/2019

Stock Code 820

I. Movements in Interests

	No. of units			
	(1)	(2)		
Balance at close of preceding month	68,751,443			
Increase/ (decrease) during the month				
EGM approval date				
Balance at close of the month	68,751,443			

#### II. Details of Movements in Units

Particulars of unit option scheme including EGM approval date (dd/mm/yyyy)		Movement duri		No. of new units in Scheme issued during the month pursuant	issued pursuant thereto	
	Granted	Exercised	Cancelled	Lapsed	thereto	as at close of the month
<u>1.</u>						
( / / )						
2.						
<u>( / / )</u>						
3.						
( / / )						
				Total	A. Nil	
Total funds raised du options (State currer		n from exercise o	f <u>Nil</u>			

### Unit Options (under Unit Option Schemes of the Scheme)

Description of warrants (Date of expiry - dd/mm/yyyy)		Nominal value at close of preceding month	Exercised during the month	Nominal value at close of the month	No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
1.	-					
	-					
( / / ) Stock code (if listed) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy) 2.	( /	/ )				
	- - -					
( / / ) Stock code (if listed) Subscription price EGM approval date						
(if applicable) (dd/mm/yyyy) 3.	( /	/ )				
	- - 					
Stock code (if listed) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy) 4.	( /	/ )				
	- - -					
( / / ) Stock code (if listed) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	( /	/ )				
				Total <u>B. N</u>	Jil	

#### For Main Board listed issuers

Convertibles (i.e. Convertible in	<u>ito L</u>	Jnits in the S	cheme	which are to	be Listed)		No. of new units in Scheme	No. of new units in Scheme which may be issued
Class and description		Currency of amount outstanding	of	ount at close preceding month	Converted during the month	Amount at close of the month	issued during the month pursuant thereto	
1.	—							
	_							
	_							
	_							
Stock code (if listed)				·				
Subscription price				-				
EGM approval date (if applicable)								
(dd/mm/yyyy)	(	/ /	)	_				
2.	_							
	—							
	_							
<u>Ot 1, 1- ('fligted)</u>				·				
Stock code (if listed) Subscription price				-				
EGM approval date				-				
(if applicable) (dd/mm/yyyy)	(	/ /	)					
<u>3.</u>			/	-				
	—							
	—							
	_							
Stock code (if listed) Subscription price	—			-				
EGM approval date				-				
(if applicable) (dd/mm/yyyy)	(	/ /	)					
4.	_(	/ /		-				
	_							
	—							
	—							
Stock code (if listed)	_							
Subscription price				-				
(if applicable)	,	. ,						
(dd/mm/yyyy)	(	/ /	)	-				
						Total <u>C.</u> 1	Nil	_

# Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)

Full particulars including EGM approval date (dd/mm/yyyy), if applicable:	No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
1	-	
2		
	-	
3.		
	-	
Total	D. Nil	

Other Movements in Units

	Type of Issue					No. of new units in Scheme issued during the month pursuant thereto	
1.	Rights issue	At price :	State currency	Issue and allotment date : (dd/mm/yyyy) ( / EGM approval date (dd/mm/yyyy) ( /	,		
2.	Open offer	At price :	State currency	Issue and allotment date : (dd/mm/yyyy) ( / EGM approval date (dd/mm/yyyy) ( /			
3.	Placing	At price :	State currency	Issue and allotment date : (dd/mm/yyyy) ( / EGM approval date (dd/mm/yyyy) ( /			
4.	Bonus issue			Issue and allotment date : (dd/mm/yyyy) ( /	/ )		

	Type of Issue							No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
				EGM approval date (dd/mm/yyyy) ( /	/	/	)		
5.	Scrip dividend	At price :	State — currency	EGM approval date (dd/mm/yyyy) ( /	/	/	)		
6.	Repurchase of units			Cancellation date : (dd/mm/yyyy) (	/	/	)		
				EGM approval date : (dd/mm/yyyy) (	/	/	)		
7.	Redemption of units			Redemption date : ( (dd/mm/yyyy)	/	/	)		
				EGM approval date (dd/mm/yyyy) (	/	/	)		
8.	Consideration issue	At price :	State	Issue and allotment date : (dd/mm/yyyy) (	/	/	)		
		-	currency —	EGM approval date (dd/mm/yyyy) ( /	/	/	)		
9.	Other (Please specify)	At price :	State currency —	Issue and allotment date : (dd/mm/yyyy) ( /	1	/	)		
	× 1 -77		5	EGM approval date (dd/mm/yyyy) ( /	/	/	)		
							Tot	tal <u>E. Nil</u>	

ubmitted by:	Maria Fung
uomitted by	Authorised officer
	HSBC Global Asset Management (Hong Kong) Limited (Manager of HSBC China
	Dragon Fund)
	- /

Note : If there is insufficient space, please append the prescribed continuation sheet.



# Monthly Return for Collective Investment Scheme listed under Chapter 20 of the Exchange Listing Rules (other than listed open-ended Collective Investment Scheme) on Movements in Units

For the month ended (dd/mm/yyyy) :	30/09/2019

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme	HSBC China Dragon Fund
Date Submitted	02/10/2019

Stock Code 820

I. Movements in Interests

	No. of units				
	(1)	(2)			
Balance at close of preceding month	68,751,443				
Increase/ (decrease) during the month					
EGM approval date					
Balance at close of the month	68,751,443				

#### II. Details of Movements in Units

Particulars of unit option scheme including EGM approval date (dd/mm/yyyy)		Movement duri		No. of new units in Scheme issued during the month pursuant	No. of new units in Scheme which may be issued pursuant thereto	
	Granted	Exercised	Cancelled	Lapsed	thereto	as at close of the month
<u>1.</u>						
2.						
( / / )						
3.						
<u>( / / )</u>				T. ( 1		
Total funds raised du options (State currer		n from exercise o	f <u>Nil</u>	Total	<u>A. Nil</u>	

### Unit Options (under Unit Option Schemes of the Scheme)

Description of warrants (Date of expiry - dd/mm/yyyy)		Nominal value at close of preceding month	Exercised during the month	Nominal value at close of the month	No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
<u>1.</u>	-					
( / / ) Stock code (if listed) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 2.		/				
( / / ) Stock code (if listed) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 3.	( /	/ )				
	-					
( / / ) Stock code (if listed) Subscription price EGM approval date						
(if applicable) (dd/mm/yyyy) 4.	( /	/ )				
( / / ) Stock code (if listed) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	( /	/ )				
				Total <u>B. N</u>	Nil	

#### Warrants to Issue Units in the Scheme which are to be Listed

#### For Main Board listed issuers

Convertibles (i.e. Convertible int	to L	Jnits in the S	Scheme	which are to	be Listed)			
Class and description		Currency o amount outstanding	of	ount at close preceding month	Converted during the month	Amount at close of the month	No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
1.								
	—							
	_							
Stock code (if listed) Subscription price				-				
EGM approval date				-				
(if applicable)	(	1 /	ì					
(dd/mm/yyyy)2.	(	/ /		-				
Stock code (if listed)								
Subscription price				-				
(if applicable)								
(dd/mm/yyyy)3.	(	/ /	)	-				
5.	—							
Stock code (if listed)								
Subscription price	_			-				
EGM approval date (if applicable)								
(dd/mm/yyyy)	(	/ /	)	-				
4.								
	—							
Stock code (if listed)	_							
Subscription price				-				
EGM approval date (if applicable)								
(dd/mm/yyyy)	(	/ /	)	_				
						Total C. 1	NGI	
						10tai <u>C. 1</u>		

Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)

Full particulars including EGM approval date (dd/mm/yyyy), if applicable:	No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
1		
2		
3		
Total	D. Nil	

Other Movements in Units

	T. more floor								No. of new units in Scheme issued during the month	at close of
	Type of Issue								pursuant thereto	the month
1.	Rights issue	At price :	State	Issue and allotmen date : (dd/mm/yyyy)		/	/	)		
			EGM approval date		/	/	)			
2.	Open offer	At price :	State	Issue and allotmen date : (dd/mm/yyyy)		/	/	)		
	1	1	currency	EGM approval dat	e		/			
3.	Placing	At price :	State	Issue and allotmen date : (dd/mm/yyyy)		/	/	)		
3. Placing	At price .	currency	EGM approval dat (dd/mm/yyyy)	e						
4.	Bonus issue			Issue and allotmen date : (dd/mm/yyyy)		/	/	)		

	Type of Issue								No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
				EGM approval date (dd/mm/yyyy)	(	/	/	)		
5.	Scrip dividend	At price :	State — currency	EGM approval date (dd/mm/yyyy)	(	/	/	)		
6.	Repurchase of units				(	/	/	)		
				EGM approval date : (dd/mm/yyyy)	(	/	/	)		
7.	Redemption of units			Redemption date : (dd/mm/yyyy)	(	/	/	)		
				EGM approval date (dd/mm/yyyy)	(	/	/	)		
8.	Consideration issue	At price :	State	Issue and allotment date : (dd/mm/yyyy)	(	/	/	)		
		-	currency —	EGM approval date (dd/mm/yyyy)	(	/	/	)		
9.	Other (Please specify)	At price :	State	Issue and allotment date : (dd/mm/yyyy)	(	/	/	)		
	( rease specify)		can oney	EGM approval date (dd/mm/yyyy)	(	/	/	)		
								Tot	tal <u>E</u> . Nil	-

ubmitted by:	Maria Fung
ubilitted by.	Authorised officer
	HSBC Global Asset Management (Hong Kong) Limited (Manager of HSBC China
	Dragon Fund)

Note : If there is insufficient space, please append the prescribed continuation sheet.



# Monthly Return for Collective Investment Scheme listed under Chapter 20 of the Exchange Listing Rules (other than listed open-ended Collective Investment Scheme) on Movements in Units

For the month ended (dd/mm/yyyy) :	30/08/2019

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme	HSBC China Dragon Fund
Date Submitted	03/09/2019

Stock Code 820

I. Movements in Interests

	No. of units				
	(1)	(2)			
Balance at close of preceding month	68,751,443				
Increase/ (decrease) during the month					
EGM approval date					
Balance at close of the month	68,751,443				

#### II. Details of Movements in Units

Particulars of unit option scheme including EGM approval date		Movement duri		No. of new units in Scheme issued during the month pursuant	No. of new units in Scheme which may be issued pursuant thereto	
(dd/mm/yyyy)	Granted	Exercised	Cancelled	Lapsed	thereto	as at close of the month
2						
( / / )						
3.						
( / / )						
				Total	A. Nil	
Total funds raised du options (State curren		n from exercise o	f <u>Nil</u>			

### Unit Options (under Unit Option Schemes of the Scheme)

Description of warrants (Date of expiry - dd/mm/yyyy)		Nominal value at close of preceding month	Exercised during the month	Nominal value at close of the month	No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
1.		1 0				
( / / ) Stock code (if listed) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 2.		/				
( / / ) Stock code (if listed) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 3.	( /	/)				
( / / ) Stock code (if listed) Subscription price EGM approval date (if applicable)						
(dd/mm/yyyy)	( /	/ )				
( / / ) Stock code (if listed) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	( /	/				
				Total <u>B. N</u>	Vil	

#### Warrants to Issue Units in the Scheme which are to be Listed

#### For Main Board listed issuers

Class and description		Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
1.	_					
	_					
	_					
	_					
Stock code (if listed) Subscription price EGM approval date (if applicable)						
(dd/mm/yyyy)	( / /	)				
<u>2.</u>	_					
	_					
	_					
	_					
Stock code (if listed) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	( / /	)				
3.	_					
	_ _ _					
	_					
Stock code (if listed)						
Subscription price EGM approval date (if applicable)						
(dd/mm/yyyy)	( / /	)				
4.	_					
	_					
	_					
	_					
Stock code (if listed)						
Subscription price						
(if applicable)						
(dd/mm/yyyy)	( / /	)				
				Total <u>C.</u>	Nil	-

Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)

Full particulars including EGM approval date (dd/mm/yyyy), if applicable:	No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
1		
2		
3		
Total	D. Nil	

Other Movements in Units

	er Movements in Un							No. of new units in Scheme issued during the month	at close of
	Type of Issue							pursuant thereto	the month
1. Rights issue	Rights issue	ie At price :	State currency ———	Issue and allotment date : (dd/mm/yyyy)	( /	/	, ,		
				EGM approval date (dd/mm/yyyy)	( /	/	)		
				Issue and allotment					
2. Op	Open offer	At price :	State currency	date : (dd/mm/yyyy)	( /	/	)		
				EGM approval date (dd/mm/yyyy)	( /	/	)		
3.	Placing	At price :	State currency ——	Issue and allotment date : (dd/mm/yyyy)	( /	,	′ )		
		At price .		EGM approval date (dd/mm/yyyy)					
4.	Bonus issue			Issue and allotment date : (dd/mm/yyyy)	( /	/	· )	1	

	Type of Issue								No. of new units in Scheme issued during the month pursuant thereto	at close o
				EGM approval date (dd/mm/yyyy)	(	/	/	)		
5.	Scrip dividend	At price :	State — currency	EGM approval date (dd/mm/yyyy)	(	/	/	)		
5.	Repurchase of units			Cancellation date : (dd/mm/yyyy)	(	/	/	)		
				EGM approval date : (dd/mm/yyyy)	(	/	/	)		
7.	Redemption of units			Redemption date : (dd/mm/yyyy)	(	/	/	)		
	1			EGM approval date (dd/mm/yyyy)	(	/	/	)		
8.	Consideration issue	At price :	State currency —	Issue and allotment date : (dd/mm/yyyy)	(	/	/	)		
				EGM approval date (dd/mm/yyyy)	(	/	/	)		
).	Other (Please specify)	At price :	State currency —	Issue and allotment date : (dd/mm/yyyy)	(	/	/	)		
				EGM approval date			/			
								Tot	al E. Nil	

Total increase / (decrease) during the month (i.e. Total of A to E):					
(This figure should be the same as the relevant figure under I above ("Movements in Interests").)					

ubmitted by:	Maria Fung
ionnitied by.	Authorised officer
	HSBC Global Asset Management (Hong Kong) Limited (Manager of HSBC China
	Dragon Fund)
itle:	
(Director, S	ecretary or other duly authorised officer)

Note : If there is insufficient space, please append the prescribed continuation sheet.



For the month ended (dd/mm/yyyy) :	31/07/2019

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme	HSBC China Dragon Fund
Date Submitted	01/08/2019

Stock Code 820

	No. of	units
	(1)	(2)
Balance at close of preceding month	68,751,443	
Increase/ (decrease) during the month		
EGM approval date		
Balance at close of the month	68,751,443	

## II. Details of Movements in Units

Particulars of unit option scheme including EGM approval date		Movement duri			No. of new units in Scheme issued during the month pursuant	No. of new units in Scheme which may be issued pursuant thereto
(dd/mm/yyyy)	Granted	Exercised	Cancelled	Lapsed	thereto	as at close of the month
2						
( / / )						
3.						
( / / )						
				Total	A. Nil	
Total funds raised du options (State curren		n from exercise o	f <u>Nil</u>			

## Unit Options (under Unit Option Schemes of the Scheme)

Description of warrants (Date of expiry - dd/mm/yyyy)		Nominal value at close of preceding month	Exercised during the month	Nominal value at close of the month	No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
1.		1 0				
( / / ) Stock code (if listed) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 2.		/				
( / / ) Stock code (if listed) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 3.	( /	/)				
( / / ) Stock code (if listed) Subscription price EGM approval date (if applicable)						
(dd/mm/yyyy)	( /	/ )				
( / / ) Stock code (if listed) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	( /	/				
				Total <u>B. N</u>	Vil	

## Warrants to Issue Units in the Scheme which are to be Listed

#### For Main Board listed issuers

Class and description		Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
1.	_					
	_					
	_					
	_					
Stock code (if listed) Subscription price EGM approval date (if applicable)						
(dd/mm/yyyy)	( / /	)				
<u>2.</u>	_					
	_					
	_					
	_					
Stock code (if listed) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	( / /	)				
3.	_					
	_ _ _					
	_					
Stock code (if listed)						
Subscription price EGM approval date (if applicable)						
(dd/mm/yyyy)	( / /	)				
4.	_					
	_					
	_					
	_					
Stock code (if listed)						
Subscription price						
(if applicable)						
(dd/mm/yyyy)	( / /	)				
				Total <u>C.</u>	Nil	-

Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)

Full particulars including EGM approval date (dd/mm/yyyy), if applicable:	No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
1		
2		
3		
Total	D. Nil	

Other Movements in Units

	er Movements in Ur								No. of new units in Scheme issued during the month	at close of
	Type of Issue								pursuant thereto	the month
1.	Rights issue	At price :	State currency	Issue and allotmen date : (dd/mm/yyyy)		/	/	)		
			currency	EGM approval dat (dd/mm/yyyy)	e (	/	/	)		
				Issue and allotmen	t					
2.	Open offer	At price :	State currency	date : (dd/mm/yyyy)	(	/	/	)		
				EGM approval dat (dd/mm/yyyy)	e (	/	/	)		
2	Placing	At price :	State	Issue and allotmen date : (dd/mm/yyyy)		/	/	)		
3.	3. Placing At price : State currency	currency	EGM approval dat (dd/mm/yyyy)	e						
4.	Bonus issue			Issue and allotmen date : (dd/mm/yyyy)		/	/	)		

	Type of Issue								No. of new units in Scheme issued during the month pursuant thereto	at close o
				EGM approval date (dd/mm/yyyy)	(	/	/	)		
5.	Scrip dividend	At price :	State — currency	EGM approval date (dd/mm/yyyy)	(	/	/	)		
5.	Repurchase of units			Cancellation date : (dd/mm/yyyy)	(	/	/	)		
				EGM approval date : (dd/mm/yyyy)	(	/	/	)		
7.	Redemption of units			Redemption date : (dd/mm/yyyy)	(	/	/	)		
	1			EGM approval date (dd/mm/yyyy)	(	/	/	)		
8.	Consideration issue	At price :	State currency —	Issue and allotment date : (dd/mm/yyyy)	(	/	/	)		
			currency	EGM approval date (dd/mm/yyyy)	(	/	/	)		
).	Other	At price :	State	Issue and allotment date : (dd/mm/yyyy)	(	/	/	)		
	(Please specify)	P0	currency —	EGM approval date			/			
								Tot	al <u>E. Nil</u>	

Total increase / (decrease) during the month (i.e. Total of A to E):	Nil
(This figure should be the same as the relevant figure under I above ("Movements in Interests").)	

ubmitted by:	Maria Fung
ionnitied by.	Authorised officer
	HSBC Global Asset Management (Hong Kong) Limited (Manager of HSBC China
	Dragon Fund)
itle:	
(Director, S	ecretary or other duly authorised officer)

Note : If there is insufficient space, please append the prescribed continuation sheet.



For the month ended (dd/mm/yyyy) :	30/06/2019

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme	HSBC China Dragon Fund
Date Submitted	02/07/2019

Stock Code 820

	No. of units				
	(1)	(2)			
Balance at close of preceding month	68,751,443				
Increase/ (decrease) during the month					
EGM approval date					
Balance at close of the month	68,751,443				

## II. Details of Movements in Units

Particulars of unit option scheme including EGM approval date (dd/mm/yyyy)		Movement duri			No. of new units in Scheme issued during the month pursuant	No. of new units in Scheme which may be issued pursuant thereto
	Granted	Exercised	Cancelled	Lapsed	thereto	as at close of the month
<u>1.</u>						
2.						
( / / )						
3.						
<u>( / / )</u>				T. ( 1		
Total funds raised du options (State currer		n from exercise o	f <u>Nil</u>	Total	<u>A. Nil</u>	

## Unit Options (under Unit Option Schemes of the Scheme)

Description of warrants (Date of expiry - dd/mm/yyyy)		Nominal value at close of preceding month	Exercised during the month	Nominal value at close of the month	No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
<u>1.</u>	-					
( / / ) Stock code (if listed) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 2.		/				
( / / ) Stock code (if listed) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 3.	( /	/ )				
	-					
( / / ) Stock code (if listed) Subscription price EGM approval date						
(if applicable) (dd/mm/yyyy) 4.	( /	/ )				
( / / ) Stock code (if listed) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	( /	/ )				
				Total <u>B. N</u>	Nil	

## Warrants to Issue Units in the Scheme which are to be Listed

#### For Main Board listed issuers

Convertibles (i.e. Convertible int	to L	Jnits in the S	Scheme	which are to	be Listed)			
Class and description		Currency o amount outstanding	of	ount at close preceding month	Converted during the month	Amount at close of the month	No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
1.								
	—							
	_							
Stock code (if listed) Subscription price				-				
EGM approval date				-				
(if applicable)	(	1 /	)					
(dd/mm/yyyy)2.	(	/ /		-				
Stock code (if listed)								
Subscription price				-				
(if applicable)								
(dd/mm/yyyy)3.	(	/ /	)	-				
5.	—							
Stock code (if listed)								
Subscription price	_			-				
EGM approval date (if applicable)								
(dd/mm/yyyy)	(	/ /	)	-				
4.								
	—							
Stock code (if listed)	_							
Subscription price				-				
EGM approval date (if applicable)								
(dd/mm/yyyy)	(	/ /	)	_				
						Total C. 1	NGI	
						10tai <u>C. 1</u>		

Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)

Full particulars including EGM approval date (dd/mm/yyyy), if applicable:	No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
1		
2		
3		
Total	D. Nil	

Other Movements in Units

	T. more floor								No. of new units in Scheme issued during the month	at close of
	Type of Issue								pursuant thereto	the month
1.	Rights issue	At price :	State	Issue and allotmen date : (dd/mm/yyyy)		/	/	)		
		EGM approval date				/	)			
2.	Open offer	At price :	State	Issue and allotmen date : (dd/mm/yyyy)		/	/	)		
	1	1	currency	EGM approval dat	e		/			
3.	Placing	At price :	State	Issue and allotmen date : (dd/mm/yyyy)		/	/	)		
5.	i iacilig	At price .	currency	EGM approval dat (dd/mm/yyyy)	e					
4.	Bonus issue			Issue and allotmen date : (dd/mm/yyyy)		/	/	)		

	Type of Issue								No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
				EGM approval date (dd/mm/yyyy)	(	/	/	)		
5.	Scrip dividend	At price :	State — currency	EGM approval date (dd/mm/yyyy)	(	/	/	)		
6.	Repurchase of units				(	/	/	)		
				EGM approval date : (dd/mm/yyyy)	(	/	/	)		
7.	Redemption of units			Redemption date : (dd/mm/yyyy)	(	/	/	)		
				EGM approval date (dd/mm/yyyy)	(	/	/	)		
8.	Consideration issue	At price :	State	Issue and allotment date : (dd/mm/yyyy)	(	/	/	)		
		-	currency —	EGM approval date (dd/mm/yyyy)	(	/	/	)		
9.	Other (Please specify)	At price :	State	Issue and allotment date : (dd/mm/yyyy)	(	/	/	)		
	( rease specify)		can oney	EGM approval date (dd/mm/yyyy)	(	/	/	)		
								Tot	tal <u>E</u> . Nil	-

ubmitted by:	Maria Fung
ubilitited by.	Authorised officer
	HSBC Global Asset Management (Hong Kong) Limited (Manager of HSBC China
	Dragon Fund)

Note : If there is insufficient space, please append the prescribed continuation sheet.



For the month ended (dd/mm/yyyy) :	31/05/2019

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme	HSBC China Dragon Fund
Date Submitted	04/06/2019

Stock Code 820

	No. of units				
	(1)	(2)			
Balance at close of preceding month	68,751,443				
Increase/ (decrease) during the month					
EGM approval date					
Balance at close of the month	68,751,443				

## II. Details of Movements in Units

Particulars of unit option scheme including EGM approval date		Movement duri			No. of new units in Scheme issued during the month pursuant	No. of new units in Scheme which may be issued pursuant thereto
(dd/mm/yyyy)	Granted	Exercised	Cancelled	Lapsed	thereto	as at close of the month
2						
( / / )						
3.						
( / / )						
				Total	A. Nil	
Total funds raised du options (State curren		n from exercise o	f <u>Nil</u>			

## Unit Options (under Unit Option Schemes of the Scheme)

Description of warrants (Date of expiry - dd/mm/yyyy)		Nominal value at close of preceding month	Exercised during the month	Nominal value at close of the month	No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
1.		1 0				
( / / ) Stock code (if listed) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 2.		/				
( / / ) Stock code (if listed) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 3.	( /	/				
( / / ) Stock code (if listed) Subscription price EGM approval date (if applicable)						
(dd/mm/yyyy)	( /	/ )				
( / / ) Stock code (if listed) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	( /	/				
				Total <u>B. N</u>	Vil	

## Warrants to Issue Units in the Scheme which are to be Listed

#### For Main Board listed issuers

Class and description		Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
1.	_					
	_					
	_					
	_					
Stock code (if listed) Subscription price EGM approval date (if applicable)						
(dd/mm/yyyy)	( / /	)				
<u>2.</u>	_					
	_					
	_					
	_					
Stock code (if listed) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	( / /	)				
3.	_					
	_ _ _					
	_					
Stock code (if listed)						
Subscription price EGM approval date (if applicable)						
(dd/mm/yyyy)	( / /	)				
4.	_					
	_					
	_					
	_					
Stock code (if listed)						
Subscription price						
(if applicable)						
(dd/mm/yyyy)	( / /	)				
				Total <u>C.</u>	Nil	-

Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)

Full particulars including EGM approval date (dd/mm/yyyy), if applicable:	No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
1		
2		
3		
Total	D. Nil	

Other Movements in Units

	er Movements in Ur								No. of new units in Scheme issued during the month	at close of
	Type of Issue								pursuant thereto	the month
1.	Rights issue	At price :	State currency	Issue and allotmen date : (dd/mm/yyyy)		/	/	)		
		currency	EGM approval dat (dd/mm/yyyy)	e (	/	/	)			
				Issue and allotmen	t					
2.	Open offer	At price :	State currency	date : (dd/mm/yyyy)	(	/	/	)		
				EGM approval dat (dd/mm/yyyy)	e (	/	/	)		
2	Placing	At price :	State	Issue and allotmen date : (dd/mm/yyyy)		/	/	)		
3. Placing	$\Lambda t nrico \cdot$	currency —	EGM approval dat (dd/mm/yyyy)	e						
4.	Bonus issue			Issue and allotmen date : (dd/mm/yyyy)		/	/	)		

	Type of Issue								No. of new units in Scheme issued during the month pursuant thereto	at close o
				EGM approval date (dd/mm/yyyy)	(	/	/	)		
5.	Scrip dividend	At price :	State — currency	EGM approval date (dd/mm/yyyy)	(	/	/	)		
5.	Repurchase of units			Cancellation date : (dd/mm/yyyy)	(	/	/	)		
				EGM approval date : (dd/mm/yyyy)	(	/	/	)		
7.	Redemption of units			Redemption date : (dd/mm/yyyy)	(	/	/	)		
	1			EGM approval date (dd/mm/yyyy)	(	/	/	)		
8.	Consideration issue	At price :	State currency —	Issue and allotment date : (dd/mm/yyyy)	(	/	/	)		
			currency	EGM approval date (dd/mm/yyyy)	(	/	/	)		
).	Other	At price :	State	Issue and allotment date : (dd/mm/yyyy)	(	/	/	)		
	(Please specify)	P0	currency —	EGM approval date			/			
								Tot	al <u>E. Nil</u>	

Total increase / (decrease) during the month (i.e. Total of A to E):	Nil
(This figure should be the same as the relevant figure under I above ("Movements in Interests").)	

ubmitted by:	Maria Fung
ionnitied by.	Authorised officer
	HSBC Global Asset Management (Hong Kong) Limited (Manager of HSBC China
	Dragon Fund)
itle:	
(Director, S	ecretary or other duly authorised officer)

Note : If there is insufficient space, please append the prescribed continuation sheet.



For the month ended (dd/mm/yyyy) :	30/04/2019

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme	HSBC China Dragon Fund
Date Submitted	02/05/2019

Stock Code 820

	No. of units				
	(1)	(2)			
Balance at close of preceding month	68,751,443				
Increase/ (decrease) during the month					
EGM approval date					
Balance at close of the month	68,751,443				

## II. Details of Movements in Units

Particulars of unit option scheme including EGM approval date		Movement duri			No. of new units in Scheme issued during the month pursuant	No. of new units in Scheme which may be issued pursuant thereto
(dd/mm/yyyy)	Granted	Exercised	Cancelled	Lapsed	thereto	as at close of the month
<u>1.</u>						
( / / )						
<u>2.</u>						
3.						
( / / )						
				Total	A. Nil	
Total funds raised du options (State curren		n from exercise o	f <u>Nil</u>			

## Unit Options (under Unit Option Schemes of the Scheme)

Description of warrants (Date of expiry - dd/mm/yyyy)		Nominal value at close of preceding month	Exercised during the month	Nominal value at close of the month	No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
1.						
( / / ) Stock code (if listed) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy) 2.	( /	/ )				
						. <u>.</u>
( / / ) Stock code (if listed) Subscription price EGM approval date (if applicable)						
(dd/mm/yyyy) 3.	( /	/				
( / / )						
Stock code (if listed) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy) 4.	( /	/ )				
( / / ) Stock code (if listed) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	( /	/ )				
				Total <u>B.</u> N	Jil	

#### For Main Board listed issuers

Class and description		Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
<u>1.</u>						
	-					
Stock code (if listed) Subscription price EGM approval date (if applicable)						
(dd/mm/yyyy)2.	( / /	)				
<u>2.</u>						
	-					
Stock code (if listed)						
Subscription price EGM approval date						
(if applicable)	<i>(</i>	λ.				
(dd/mm/yyyy) 3.		)				
	-					
Stock code (if listed) Subscription price					_	
EGM approval date						
(if applicable) (dd/mm/yyyy)	( / /	)				
4.						
	-					
Stock code (if listed) Subscription price						
EGM approval date						
(if applicable) (dd/mm/yyyy)	( / /	)				
	( , ,	)				
				Total <u>C.</u>	Nıl	-

## Convertibles (i.e. Convertible into Units in the Scheme which are to be Listed)

Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)

Full particulars including EGM approval date (dd/mm/yyyy), if applicable:	No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
1		
2		
3		
Total	D. Nil	

Other Movements in Units

	er Movements in Un							No. of new units in Scheme issued	
	Type of Issue							during the month pursuant thereto	the month
1.	Rights issue	At price :	State currency –	Issue and allotment date : (dd/mm/yyyy)	( /	/	)		
		EGM approval date (dd/mm/yyyy)		( /	/	)			
2.	Open offer	At price :	State currency –	Issue and allotment date : (dd/mm/yyyy)	( /	/	)		
				EGM approval date (dd/mm/yyyy)	( /	/	)		·
2	Diacing	A4	State	Issue and allotment date : (dd/mm/yyyy)	( )	/	``		
3. Placing	At price :	currency —	EGM approval date (dd/mm/yyyy)						
4.	Bonus issue			Issue and allotment date : (dd/mm/yyyy)	_( /	/	)		

	Type of Issue						No. of new units in Scheme issued during the month pursuant thereto	thereto as at close of
				EGM approval date (dd/mm/yyyy) ( /	,	/ )	)	
5.	Scrip dividend	At price :	State — currency	EGM approval date (dd/mm/yyyy) ( /	,	( )	)	
6.	Repurchase of units				1	/ )	)	
				EGM approval date : (dd/mm/yyyy) ( /	/	/ )		
7.	Redemption of units			Redemption date : ( / (dd/mm/yyyy)	/ ,	/ )		
				EGM approval date (dd/mm/yyyy) ( /	/	/ )		
3.	Consideration issue	At price :	State	Issue and allotment date : (dd/mm/yyyy) ( /	/	/ )	)	
		-	currency —	EGM approval date (dd/mm/yyyy) ( /	,	( )		
9.	Other (Please specify)	At price :	State	Issue and allotment date : (dd/mm/yyyy) ( /	,	/ )	)	
	(i lease specify)		currency —	EGM approval date (dd/mm/yyyy) ( /	,	/ )		
						Т	otal <u>E</u> . Nil	_

Maria Fung
Authorised officer
HSBC Global Asset Management (Hong Kong) Limited (Manager of HSBC China
Dragon Fund)
· · · · ·

Note : If there is insufficient space, please append the prescribed continuation sheet.



For the month ended (dd/mm/yyyy) :	29/03/2019

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme	HSBC China Dragon Fund
Date Submitted	01/04/2019

Stock Code 820

	No. of units				
	(1)	(2)			
Balance at close of preceding month	68,751,443				
Increase/ (decrease) during the month					
EGM approval date					
Balance at close of the month	68,751,443				

## II. Details of Movements in Units

Particulars of unit option scheme including EGM approval date		Movement duri		No. of new units in Scheme issued during the month pursuant	No. of new units in Scheme which may be issued pursuant thereto	
(dd/mm/yyyy)	Granted	Exercised	Cancelled	Lapsed	thereto	as at close of the month
<u>1.</u>						
( / / )						
<u>2.</u>						
3.						
( / / )						
				Total	A. Nil	
Total funds raised du options (State curren		n from exercise o	f <u>Nil</u>			

## Unit Options (under Unit Option Schemes of the Scheme)

Description of warrants (Date of expiry - dd/mm/yyyy)		Nominal value at close of preceding month	Exercised during the month	Nominal value at close of the month	No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
1.						
( / / ) Stock code (if listed) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy) 2.	( /	/ )				
						. <u>.</u>
( / / ) Stock code (if listed) Subscription price EGM approval date (if applicable)						
(dd/mm/yyyy) 3.	( /	/				
( / / )						
Stock code (if listed) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy) 4.	( /	/ )				
( / / ) Stock code (if listed) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	( /	/ )				
				Total <u>B.</u> N	Jil	

#### For Main Board listed issuers

Class and description		Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
<u>1.</u>						
	-					
Stock code (if listed) Subscription price EGM approval date (if applicable)						
(dd/mm/yyyy)2.	( / /	)				
<u>2.</u>						
	-					
Stock code (if listed)						
Subscription price EGM approval date						
(if applicable)	<i>(</i>	λ.				
(dd/mm/yyyy) 3.		)				
	-					
Stock code (if listed) Subscription price					_	
EGM approval date						
(if applicable) (dd/mm/yyyy)	( / /	)				
4.						
	-					
Stock code (if listed) Subscription price						
EGM approval date						
(if applicable) (dd/mm/yyyy)	( / /	)				
	( , ,	)				
				Total <u>C.</u>	Nıl	-

## Convertibles (i.e. Convertible into Units in the Scheme which are to be Listed)

Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)

Full particulars including EGM approval date (dd/mm/yyyy), if applicable:	No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
1		
2		
3		
Total	D. Nil	

Other Movements in Units

	er Movements in O							No. of new units in Scheme issued	
	Type of Issue							during the month pursuant thereto	the month
	Rights issue		State currency ———	Issue and allotment date : (dd/mm/yyyy) (	/	/	)		
				EGM approval date (dd/mm/yyyy) (	/	/	)		
2.	Open offer	At price :	State currency	Issue and allotment date : (dd/mm/yyyy) (	/	/	)		
			Carreney	EGM approval date (dd/mm/yyyy) (	/	/	)		
2	Diacing		State	Issue and allotment date : (dd/mm/yyyy) (	/	1	)		
3.	Placing	At price :	currency ——	EGM approval date (dd/mm/yyyy) (					
4.	Bonus issue			Issue and allotment date : (dd/mm/yyyy) (	/	/	)		

	Type of Issue							No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
				EGM approval date (dd/mm/yyyy) ( /	r	/	)		
5.	Scrip dividend	At price :	State — currency	EGM approval date (dd/mm/yyyy) ( /	,	/	)		
6.	Repurchase of units			Cancellation date : (dd/mm/yyyy) ( /	/	/	)		
				EGM approval date : (dd/mm/yyyy) ( /	/	/	)		
7.	Redemption of units			Redemption date : ( (dd/mm/yyyy)	/	/	)		
				EGM approval date (dd/mm/yyyy) ( /	/	/	)		
3.	Consideration issue	At price :	State	Issue and allotment date : (dd/mm/yyyy) (	/	/	)		
		-	currency —	EGM approval date (dd/mm/yyyy) ( /		/	)		
9.	Other (Please specify)	At price :	State	Issue and allotment date : (dd/mm/yyyy) ( /	,	/	)		
	(Flease specify)		currency —	EGM approval date (dd/mm/yyyy) ( /		/	)		
							Tot	al E. Nil	

ubmitted by:	Maria Fung
ubilitted by.	Authorised officer
	HSBC Global Asset Management (Hong Kong) Limited (Manager of HSBC China
	Dragon Fund)

Note : If there is insufficient space, please append the prescribed continuation sheet.



To : Hong Kong Exchanges and Clearing Limited

Name of Scheme	HSBC China Dragon Fund		
Date Submitted	01/03/2019		

Stock Code 820

	No. of units		
	(1)	(2)	
Balance at close of preceding month	68,751,443		
Increase/ (decrease) during the month			
EGM approval date			
Balance at close of the month	68,751,443		

## II. Details of Movements in Units

Particulars of unit option scheme including EGM approval date		Movement duri		No. of new units in Scheme issued during the month pursuant	No. of new units in Scheme which may be issued pursuant thereto	
(dd/mm/yyyy)	Granted	Exercised	Cancelled	Lapsed	thereto	as at close of the month
<u>1.</u>						
( / / )						
<u>2.</u>						
3.						
( / / )						
				Total	A. Nil	
Total funds raised du options (State curren		n from exercise o	f <u>Nil</u>			

# Unit Options (under Unit Option Schemes of the Scheme)

Description of warrants (Date of expiry - dd/mm/yyyy)		Nominal value at close of preceding month	Exercised during the month	Nominal value at close of the month	No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
1.						
( / / ) Stock code (if listed) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy) 2.	( /	/ )				
						. <u>.</u>
( / / ) Stock code (if listed) Subscription price EGM approval date (if applicable)						
(dd/mm/yyyy) 3.	( /	/				
( / / )						
Stock code (if listed) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy) 4.	( /	/ )				
( / / ) Stock code (if listed) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	( /	/ )				
				Total <u>B.</u> N	Jil	

### For Main Board listed issuers

Class and description		Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
<u>1.</u>						
	-					
Stock code (if listed) Subscription price EGM approval date (if applicable)						
(dd/mm/yyyy)2.	( / /	)				
<u>2.</u>						
	-					
Stock code (if listed)						
Subscription price EGM approval date						
(if applicable)	<i>(</i>	λ.				
(dd/mm/yyyy) 3.		)				
	-					
Stock code (if listed) Subscription price					_	
EGM approval date						
(if applicable) (dd/mm/yyyy)	( / /	)				
4.						
	-					
Stock code (if listed) Subscription price						
EGM approval date						
(if applicable) (dd/mm/yyyy)	( / /	)				
	( , ,	)				
				Total <u>C.</u>	Nıl	-

## Convertibles (i.e. Convertible into Units in the Scheme which are to be Listed)

Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)

Full particulars including EGM approval date (dd/mm/yyyy), if applicable:	No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
1		
2		
3		
Total	D. Nil	

Other Movements in Units

	er Movements in Un							No. of new units in Scheme issued	
	Type of Issue							during the month pursuant thereto	the month
1.	Rights issue	At price :	State currency –	Issue and allotment date : (dd/mm/yyyy)	( /	/	)		
		••••••	EGM approval date (dd/mm/yyyy)	( /	/	)			
2.	Open offer	At price :	State currency –	Issue and allotment date : (dd/mm/yyyy)	( /	/	)		
		currency	EGM approval date (dd/mm/yyyy)	( /	/	)		·	
2	Diacing	A4	State	Issue and allotment date : (dd/mm/yyyy)	( )	/	``		
3. Placing	At price :	currency —	EGM approval date (dd/mm/yyyy)						
4.	Bonus issue			Issue and allotment date : (dd/mm/yyyy)	_( /	/	)		

	Type of Issue							No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
				EGM approval date (dd/mm/yyyy) ( /	r	/	)		
5.	Scrip dividend	At price :	State currency	EGM approval date (dd/mm/yyyy) ( /	,	/	)		
6.	Repurchase of units			Cancellation date : (dd/mm/yyyy) (	/	/	)		
				EGM approval date : (dd/mm/yyyy) ( /	/	/	)		
7.	Redemption of units			Redemption date : ( (dd/mm/yyyy)	/	/	)		
				EGM approval date (dd/mm/yyyy) (	/	/	)		
3.	Consideration issue	At price :	State	Issue and allotment date : (dd/mm/yyyy) (	/	/	)		
			currency —	EGM approval date (dd/mm/yyyy) ( /	r	/	)		
9.	Other (Please specify)	At price :	State	Issue and allotment date : (dd/mm/yyyy) ( /	r	/	)		
	(i lease specify)		currency —	EGM approval date (dd/mm/yyyy) ( /	,	/	)		
							Tot	al <u>E. Nil</u>	

Nil

Maria Fung
Authorised officer
HSBC Global Asset Management (Hong Kong) Limited (Manager of HSBC China
Dragon Fund)
· · · · ·

Note : If there is insufficient space, please append the prescribed continuation sheet.



# Monthly Return for Collective Investment Scheme listed under Chapter 20 of the Exchange Listing Rules (other than listed open-ended Collective Investment Scheme) on Movements in Units

For the month ended (dd/mm/yyyy) :	31/01/2019

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme	HSBC China Dragon Fund
Date Submitted	01/02/2019

Stock Code 820

I. Movements in Interests

	No. of units				
	(1)	(2)			
Balance at close of preceding month	68,751,443				
Increase/ (decrease) during the month					
EGM approval date					
Balance at close of the month	68,751,443				

## II. Details of Movements in Units

Particulars of unit option scheme including EGM approval date		Movement duri		No. of new units in Scheme issued during the month pursuant	No. of new units in Scheme which may be issued pursuant thereto	
(dd/mm/yyyy)	Granted	Exercised	Cancelled	Lapsed	thereto	as at close of the month
<u>1.</u>						
( / / )						
<u>2.</u>						
3.						
( / / )						
				Total	A. Nil	
Total funds raised du options (State curren		n from exercise o	f <u>Nil</u>			

# Unit Options (under Unit Option Schemes of the Scheme)

Description of warrants (Date of expiry - dd/mm/yyyy)		Nominal value at close of preceding month	Exercised during the month	Nominal value at close of the month	No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
1.						
( / / ) Stock code (if listed) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy) 2.	( /	/ )				
						. <u>.</u>
( / / ) Stock code (if listed) Subscription price EGM approval date (if applicable)						
(dd/mm/yyyy) 3.	( /	/				
( / / )						
Stock code (if listed) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy) 4.	( /	/ )				
( / / ) Stock code (if listed) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	( /	/ )				
				Total <u>B.</u> N	Jil	

### For Main Board listed issuers

Class and description		Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
<u>1.</u>						
	-					
	-					
Stock code (if listed) Subscription price EGM approval date (if applicable)						
(dd/mm/yyyy)	( / /	)				
<u>2.</u>						
	-					
	-					
Stock code (if listed)	. <u> </u>					
Subscription price EGM approval date						
(if applicable)	<i>(</i>	λ.				
(dd/mm/yyyy) 3.	( / /	)				
	-					
	-					
Stock code (if listed) Subscription price				_	_	
EGM approval date						
(if applicable) (dd/mm/yyyy)	( / /	)				
4.						
	-					
	-					
Stock code (if listed) Subscription price						
EGM approval date						
(if applicable) (dd/mm/yyyy)	( / /	)				
(*******)) <u>)</u>				<b>T</b> 1 0 1	N T'1	
				Total <u>C.</u>	N1I	-

## Convertibles (i.e. Convertible into Units in the Scheme which are to be Listed)

Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)

Full particulars including EGM approval date (dd/mm/yyyy), if applicable:	No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
1		
2		
3		
Total	D. Nil	

Other Movements in Units

	er Movements in O							No. of new units in Scheme issued	
	Type of Issue							during the month pursuant thereto	the month
1.	Rights issue	At price :	State currency -	Issue and allotment date : (dd/mm/yyyy) (	/	/	)		
			ean eney	EGM approval date (dd/mm/yyyy) (	/	/	)		
2.	Open offer	At price :	State currency	Issue and allotment date : (dd/mm/yyyy) (	/	/	)		
			ean eney	EGM approval date (dd/mm/yyyy) (	/	/	)		
2	Diacing		State	Issue and allotment date : (dd/mm/yyyy) (	/	1	)		
3.	Placing	At price :	currency -	EGM approval date (dd/mm/yyyy) (					
4.	Bonus issue			Issue and allotment date : (dd/mm/yyyy) (	/	/	)		

	Type of Issue							No. of new units in Scheme issued during the month pursuant thereto	No. of new units in Scheme which may be issued pursuant thereto as at close of the month
				EGM approval date (dd/mm/yyyy) ( /	r	/	)		
5.	Scrip dividend	At price :	State — currency	EGM approval date (dd/mm/yyyy) ( /	,	/	)		
6.	Repurchase of units			Cancellation date : (dd/mm/yyyy) ( /	/	/	)		
				EGM approval date : (dd/mm/yyyy) ( /	/	/	)		
7.	Redemption of units			Redemption date : ( (dd/mm/yyyy)	/	/	)		
				EGM approval date (dd/mm/yyyy) ( /	/	/	)		
3.	Consideration issue	At price :	State	Issue and allotment date : (dd/mm/yyyy) (	/	/	)		
		-	currency —	EGM approval date (dd/mm/yyyy) ( /		/	)		
9.	Other (Please specify)	At price :	State	Issue and allotment date : (dd/mm/yyyy) ( /	,	/	)		
	(i rease specify)		currency —	EGM approval date (dd/mm/yyyy) ( /		/	)		
							Tot	al E. Nil	

ubmitted by:	Maria Fung
	Authorised officer
	HSBC Global Asset Management (Hong Kong) Limited (Manager of HSBC China
	Dragon Fund)
Title:	

Note : If there is insufficient space, please append the prescribed continuation sheet.